



00862.023155

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
MAKOTO KOBAYASHI, et al. )  
Application No.: 10/628,460 )  
Filed: July 29, 2003 )  
For:     STORAGE UNIT, INFORMATION )  
          PROCESSING APPARATUS, )  
          AND ACCESS CONTROL )  
          METHOD                   :     October 29, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

- (1) Japan 08-263383
- (2) Japan 08-167273
- (3) Japan 10-301719
- (4) Japan 2000-276258
- (5) Japan 2001-357587
- (6) EP 0 717 409

English language abstracts for References (1) to (5) are also enclosed.

References (1) and (5) were cited at page 3 of the Specification, and might be deemed pertinent for the reasons discussed therein.

Reference (6) is believed to be an English-language equivalent of Reference (2).

The Examiner's attention is also directed to the following U.S. Application:

APPLICATION NO. GROUP ART FILING DATE INVENTORS DOCKET NO.

10/628,460	Not Yet Assigned	07/29/03	Noriyuki Suzuki, 00862.023155 Tadashi Takayama, Takeshi Toyama, Hiroyasu Ito, Makoto Kobayashi, Tomoyuki Takada, and Kyohei Inukai.
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A copy of this cited Application is enclosed.

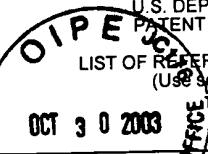
Inasmuch as the subject application has not yet received a first Office Action, it is believed that this Information Disclosure Statement is timely. See 37 C.F.R. 1.97(b)(3). Accordingly, the Examiner is urged to study this information in its entirety and to form an independent determination of the materiality of the information to the claimed invention. Additionally, the Examiner is requested to indicate that this information has been considered by initialing the appropriate portion of Form PTO-1449.

Applicants' undersigned attorney may be reached in our Costa Mesa, California office by telephone at (714) 540-8700. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicants  
\_\_\_\_\_  
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U. S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

APPLICANT **MAKOTO KOBAYASHI, et al.**FILING DATE **July 29, 2003** GROUP **N.Y.A.**

## U.S. PATENT DOCUMENTS

*EXAMINER'S INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
	08-263383		JAPAN			Abstract
	08-167273		JAPAN			Abstract
	10-301719		JAPAN			Abstract
	2000-276258		JAPAN			Abstract
	2001-357587		JAPAN			Abstract
	0 717 409		Europe			

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1

ATTY DOCKET NO.

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MAKOTO KOBAYASHI, et al.

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Sheet 1 of 1